

Alabama State Board of Auctioneers 2777 Zelda Rd Montgomery, AL 36106 Phone: 334-420-7205 Fax: 334-263-6115

Email: <u>auctioneers@warrenandco.com</u> Website: <u>www.auctioneer.alabama.gov</u>

DISCIPLINARY ACTIONS

Name: Bruce Locke; Locke Auction

Case #2016-009

Date: October 19, 2016

Disposition: The Board entered into a Consent Order with Respondents Bruce Locke and Locke Auction, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), by advertising and conducting auctions for the sale of items to the general public by means of public auction through Locke Auction, without having first obtained an auction company license from this Board. Respondents were assessed administrative fines totaling \$500.00 for these violations.

Name: Mohammed "John" Mizani; Associated Trustee LLC

Case #2016-005 & 2016-006 Date: August 2, 2016

Disposition: The Board entered into a Consent Order with Respondents Mohammed "John" Mizani and Associated Trustee LLC, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), by negotiating contracts for the sale of items to the general public by means of public auction and advertising and conducting auctions for the sale of such items to the general public through Associated Trustee LLC, without having first obtained an auction company license from this Board. Respondents were assessed administrative fines totaling \$3,200.00 for these violations.

Name: Jerry Speake; Central Auction Barn

Case #2016-001 Date: April 22, 2016

Disposition: The Board entered into a Consent Order with Respondents Jerry Speake and Central Auction Barn, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), by negotiating contracts for the sale of items to the general public by means of public auction and advertising and conducting auctions for the sale of such items to the general public through Central Auction Barn, without having first obtained an auctioneer license and/or an auction company license from this Board. Respondents were assessed administrative fines totaling \$4,000.00 for these violations.

Name: Sharon Jones; Tri-County Chicken Auction

Case #2016-001

Date: January 27, 2016

Disposition: The Board entered into a Consent Order with Respondents Sharon Jones and Tri-County Chicken Auction, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), by negotiating contracts for the sale of items to the general public by means of public auction; advertising public auctions for the sale of such items via Tri-County Auction's website, Facebook account and signage; and conducting auctions for the sale of such items to the general public through Tri-County Auction, without having first obtained an auctioneer license and/or an auction company license from this Board. Respondents were assessed administrative fines totaling \$4,000.00 for these violations.

Name: Charles C. Short

Case #2016-001

Date: January 27, 2016

Disposition: The Board entered into a Consent Order with Auctioneer Charles C. Short, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), by conducting auction sales for two unlicensed auction companies — Tri-County Chicken Auction and Central Auction Barn. Mr. Short was assessed an administrative fine in the amount of \$2,000.00 for these violations.

Name: Tommie Lynn Cadle Morrison; Cadle Auction Sales & Associates

Case #2015-009

Date: January 27, 2016

Disposition: Pursuant to an administrative hearing before the Board and Findings of Fact and Conclusions of Law duly rendered by an Administrative Law Judge, the Board found Auctioneer Tommie Lynn Morrison guilty of violating Ala. Admin. Code r. 150-X-1-.11(c)1., 4. and 16. (2015) and Ala. Code §§ 34-4-21(c), 34-4-29(c) and 34-4-29(c)(1) and (4) (1975 as amended), for failure to timely pay a consignor for items sold by Ms. Morrison at auction, failure to notify the Board regarding civil actions to which she was a party, falsely answering questions on a number of auctioneer license renewal applications concerning being a party to any civil action, and misrepresenting the purchase price on a bill of sale for a 1976 Ford Ranger truck. Based on Ms. Morrison's false representations, the Board issued renewal licenses to her as an auctioneer for the years 2005-2006, 2006-2007, 2007-2008, 2013-2014 and 2014-2015. Ms. Morrison was assessed an administrative fine in the amount of \$3,500.00 for said violations and her Auctioneer License, Number 1474, was revoked.