



## Alabama State Board of Auctioneers

2777 Zelda Rd

Montgomery, AL 36106

Phone: 334-420-7235

Fax: 334-263-6115

Email: [auctioneers@alstateboard.com](mailto:auctioneers@alstateboard.com)

Website: [www.auctioneer.alabama.gov](http://www.auctioneer.alabama.gov)

### MINUTES Board Meeting November 16, 2021

The Alabama State Board of Auctioneers met on Tuesday, November 16, 2021 at the Board office located at 2777 Zelda Road in Montgomery. Members in attendance were Mr. Mike Fisher (Vice Chair), Mr. John Gray (Board Secretary), Mr. Chad Curvin (member), Mr. Chip Pearce (member), Ms. Angella Morgan (member) and Mr. Randall Grissett (member). Board members attending virtually were Mr. Pete Horton (Board Chair) and Mr. Keith McDaniel (member). Also in attendance were Mr. Keith Warren (Executive Director), Ms. Peggy Rossmanith (Board's Legal Counsel), Ms. Hope Childers (Board Administrator), Mr. Mike James (Investigator), Mr. Dennis Trammell (Investigator), Ms. Karen Harlow (Legal Assistant) and Ms. Renee' Reames (recording secretary). Chairman Horton called the meeting to order at 9:00 a.m. and Mr. Warren called Board roll. Mr. Warren indicated that a quorum of the members was present to conduct business.

The meeting was advertised on the Board's web site, [www.auctioneer.alabama.gov](http://www.auctioneer.alabama.gov), and the Secretary of State's website, [www.sos.alabama.gov](http://www.sos.alabama.gov), in accordance with the Alabama Open Meetings Act. A word of prayer was given at the opening of the meeting and Mr. Warren gave the opening statement concerning Roberts Rules of Order and the Alabama Open Meetings Act. Chairman Horton welcomed everyone attending the meeting.

Approval of Minutes: Chairman Horton indicated that a copy of the minutes from the September 14, 2021 Board meeting was distributed to the members prior to the meeting for their review. Mr. Fisher made a motion to dispense with the reading of the July meeting minutes. The motion was seconded by Mr. Gray and unanimously approved by the Board.

Mr. Fisher made a motion to approve the September meeting minutes as submitted. The motion was seconded by Mr. Gray and unanimously approved by the Board.

Executive Director Report: Mr. Warren presented the Executive Director's Report (report available in the Board's official Book of Minutes). The report included the financial activities of the Board for the period ending September 31, 2021, and for the first month in the new fiscal year of 2022. He reported on the number of licensees and the number of complaints received during FY 2021.

Mr. Pearce made the motion to approve the financial report as presented. The motion was seconded by Mr. McDaniel and unanimously approved by the Board.

Administrator's Report: Mr. Warren presented the Administrator's Report, which included licensing activities completed since the last Board meeting held in September, along with a summary of licensure and examination statistics from this same period. He reported that the designation of inactive status would not go into effect until after the proposed rule, being discussed later in the meeting, had been promulgated. Mr. Warren also presented a list of expired individual and company licenses as of November 14, 2021. He indicated that the last day to renew licenses, which included a late fee, was November 30, 2021.

Legal Counsel Report: Ms. Rossmanith reported that seven of the nine complaint cases received in fiscal year 2021 had been closed and two cases remained open. She reported that some complaints involved compensation to the complainant, and she reminded the Board that recompensating complainants was not in the Board's jurisdiction.

Update on Association Activities: Mr. Fisher commented on the Association convention held in August, was well-attended, despite bad weather, and plans were underway for next year's annual meeting.

Rules Committee Report: Mr. Warren reported on recommendations from the Board's Rules Committee. A copy of the proposed Rules changes was submitted to the Board for their review prior to the meeting. The Board discussed the definition of an auctioneer, proposed rule concerning a one-day auction license and definition, inactive license designation, expired license renewal period, duties of sponsors and apprentices, auctioneer schools, and list of fees, etc. Mr. Pearce made the motion to approve the proposed Rules as presented with changes discussed at the meeting. The motion was seconded by Mr. Gray and unanimously approved by the Board. Mr. Warren thanked the Board's Legal Counsel and members of the Rules Committee for their time and work on these Rules and indicated that these proposed Rules would be published for public comment.

2022 Board Meeting Schedule: Chairman Horton presented, for the Board's approval, a list of dates in 2022 to conduct Board meetings. After some discussion, Mr. Fisher made a motion to approve the following dates in 2022: January 18, April 12, July 19 and October 11, 2022. The motion was seconded by Mr. Gray and unanimously approved by the Board.

Other Business and Announcements: Mr. Warren reported that he had contacted Mr. Ken Garner, who had provided a narrative concerning Erskine Valrie's service to the auction profession, which would be helpful in requesting a proclamation from Governor Ivey concerning Mr. Valrie. The Board expressed their wishes to present the proclamation to Mr. Valrie's family and Mr. Warren indicated that he would coordinate these arrangements.

Chairman Horton reminded the members that the next regular business meeting of the Board was scheduled January 18, 2022 at 9:00 a.m. at the Board's office.

Adjournment: There was no further business by the Board and Mr. Fisher made a motion that the meeting be adjourned. The motion was seconded by Mr. Gray and unanimously approved by the Board. Chairman Horton adjourned the meeting at 9:59 a.m.

Respectfully Submitted,

John D. Gray  
*Board Secretary*

